STATE OF MINNESOTA
BOARD OF ARCHITECTURE, ENGINEERING,
LAND SURVEYING, LANDSCAPE ARCHITECTURE, GEOSCIENCE
AND INTERIOR DESIGN

In the Matter of Eric Ludwig, Unlicensed

SETTLEMENT AGREEMENT
AND
CEASE AND DESIST ORDER

Board File No. 2013-0097

TO: Eric Ludwig

2246 Stinson Parkway

Minneapolis, Minnesota 55418

The Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience and Interior Design ("Board") is authorized pursuant to Minnesota Statutes section 214.10 (2012) and Minnesota Statutes section 326.111 (2012) to review complaints concerning the unauthorized practice of architecture, professional engineering, land surveying, landscape architecture, geoscience and interior design, and to take action pursuant to those statutes whenever appropriate.

The Board received a complaint concerning Eric Ludwig ("Respondent"). The Board's Complaint Committee ("Committee") reviewed the information. The parties have agreed that the matter may now be resolved by this Settlement Agreement and Cease and Desist Order.

SETTLEMENT AGREEMENT

IT IS HEREBY AGREED by and between Respondent and the Committee as follows:

1. <u>Jurisdiction.</u> Pursuant to Minnesota Statutes section 326.111, subdivision

3 (2012), the Board is authorized to issue an order requiring an unlicensed person to cease and desist from holding out as an Architect and practicing architecture in the State of Minnesota. Respondent is subject to the jurisdiction of the Board with respect to the matters referred to in this Settlement Agreement and Cease and Desist Order.

- 2. Facts. This Settlement Agreement is based upon the following facts:
- a. Respondent is not currently and never has been licensed by the Board as an Architect in the State of Minnesota.
- b. With his letter dated July 16, 2013 to the Board, Respondent provided plans for a project located in South Saint Paul, Minnesota.
- c. Respondent held himself out as an Architect on the plans for a project located in South Saint Paul, Minnesota, sheet identified as A0. It stated: "Architect: LNA Architecture & Design, LLC, Contact: Eric Ludwig."
- d. On the set of plans for the project located in South Saint Paul, Minnesota, sheets identified as A0, A1, and A2, stated: "Drawn By: ME, EJL." The date on the plans is January 22, 2013.
- e. In his letter dated July 16, 2013 to the Board, Respondent confirmed that a licensed architect did not work on this South St. Paul, Minnesota project.
- f. In a letter dated August 8, 2013 to the Board, Respondent admitted that he prepared the drawings for the project located in South Saint Paul, Minnesota.
- g. In this same letter dated August 8, 2013 to the Board, Respondent stated the gross square footage (GSF) of the building for the project

located in South Saint Paul, Minnesota was 3,150 square feet. There is no exemption in Minnesota Rules 1800.5900 (2013) due to the gross square footage (GSF) for this building. Additionally, on the plans identified as A0 for the project located in South Saint Paul, Minnesota, it stated the Occupancy Classification was a 'B' (Business) for the office space and an I-4 (Institutional) for the adult daycare facilities. There is no exemption in Minnesota Rules 1800.5900 (2013) for an I-4 (Institutional) classification.

- h. With his letter dated July 16, 2013 to the Board, Respondent provided plans for a project located in Minneapolis, Minnesota. The date on the plans is October 15, 2012. The plans for the project located in Minneapolis, Minnesota were identified as A1.0 and A1.1. The plans for the project located in Minneapolis, Minnesota identified as A1.0 and A1.1, stated: "Drawn By: MEE, EJL."
- i. In his letter dated July 16, 2013 to the Board, Respondent stated that although LNA did contract with a licensed architect in the fall of 2012, the licensed architect did not work on this Minneapolis, Minnesota project.
- j. In a letter dated August 8, 2013 to the Board, Respondent admitted that he prepared the drawings for the project located in Minneapolis, Minnesota.
- k. In this same letter dated August 8, 2013 to the Board, Respondent stated the gross square footage (GSF) of the building for the project located in Minneapolis, Minnesota was approximately 17, 300.00 square feet. There is no exemption in Minnesota Rules 1800.5900 (2013) due to the gross

square footage (GSF) for this building.

- I. In another letter dated August 8, 2013 to the Board, Respondent admitted to preparing plans for a project in Bloomington, Minnesota. The date on the plans is "Revision July 12, 2011." Respondent stated he believed the classification code to be 'M' (Mercantile). Respondent stated that the overall gross square footage of the building was approximately 6,900 square feet. There is no exemption in Minnesota Rules 1800.5900 (2013) due to the gross square footage (GSF) for this building.
- m. In his letter dated July 16, 2013 to the Board, Respondent confirmed that a licensed architect did not work on this Bloomington, Minnesota project.
- n. Respondent practiced as an Architect by preparing the plans for the above-referenced projects located in South Saint Paul, Minnesota, Minnesota and Bloomington, Minnesota.
- o. In a letter dated July 16, 2013, Respondent stated the following corrective action has been taken:
 - o.1 Changed the name in all documentation from "LNA Architecture & Design" to "LNA Design".
 - o.2 Changed the name to "LNA Design" in all social media locations, including Facebook, Twitter and LinkedIn.
 - o.3 Begun the process of changing the internet domain name from 'www.lnaarch.com' to 'www.lnadesign.com'.
- 3. Violations. Respondent admits that the facts specified above constitute

violations of Minnesota Statutes section 326.02, subdivisions 1 and 2 (2012) and Minnesota Statutes sections 326.03, subdivision 1 (2012) and are sufficient grounds for the action specified below. Specifically, it is alleged that the Respondent held himself out as an Architect by using the title of "Architect: Contact: Eric Ludwig" on the plans identified as A0 for a project located in South Saint Paul, Minnesota. Additionally, Respondent engaged in the unlicensed practice of architecture by: (a) preparing the plans identified as A0, A1 and A2 for a nonexempt project located in South Saint Paul, Minnesota, without the direct supervision of a licensed Architect; (b) preparing the plans identified as A1.0 and A1.1 for a nonexempt project located in Minneapolis, Minnesota, without the direct supervision of a licensed Architect, and (c) preparing plans for a nonexempt project located in Bloomington, Minnesota, without the direct supervision of a licensed Architect, and (c) preparing plans for a nonexempt project located in Bloomington, Minnesota, without the direct supervision of a licensed Architect.

- 4. <u>Enforcement Action.</u> Respondent and the Committee agree that the Board may issue an Order in accordance with the following terms:
- a. <u>Cease and Desist Order.</u> Respondent shall cease and desist from holding himself out as an Architect and from practicing architecture in Minnesota until such time as he becomes licensed as an Architect in the State of Minnesota.
- b. <u>Civil Penalty.</u> Respondent shall pay a civil penalty of Three Thousand Dollars (\$3,000.00) to the Board. Respondent shall submit a check or money order for Three Thousand Dollars (\$3,000.00) to the Board within sixty (60) days of the date of the Board Order approving this Settlement Agreement and Cease and Desist Order.
 - 5. <u>Judicial Relief.</u> If the Respondent violates paragraph 4 above, a district

court of this state may, upon application of the Committee, enter an Order enjoining Respondent from such unauthorized practices, ordering Respondent to show cause why the required civil penalty has not been paid, and granting the Board its costs, reasonable attorney fees, and other appropriate relief.

- 6. Waiver of Respondent's Rights. For the purpose of this Settlement Agreement, Respondent waives all procedures and proceedings before the Board to which Respondent may be entitled under the Minnesota and United States constitutions, statutes, or the rules of the Board, including the right to dispute the allegations against Respondent and to dispute the appropriateness of discipline in a contested case proceeding pursuant to Minnesota Statutes Chapter 14 (2012). Respondent agrees that upon the application of the Committee without notice to or an appearance by Respondent, the Board may issue an Order requiring the action specified in paragraph 4 herein. Respondent waives the right to any judicial review of this Settlement Agreement and Cease and Desist Order or the attached Board Order by appeal, writ or certiorari, or otherwise.
- 7. <u>Collection.</u> In accordance with Minnesota Statutes section 16D.17 (2012), in the event this order becomes final and Respondent does not comply with the condition in paragraph 4(b) above, Respondent agrees that the Board may file and enforce the unpaid portion of the civil penalty as a judgment without further notice or additional proceedings.
- 8. <u>Board Rejection of Settlement Agreement and Cease and Desist Order.</u> In the event the Board in its discretion does not approve this Settlement Agreement and Cease and Desist Order, this Settlement Agreement shall be null and void and shall not

be used for any purpose by either party hereto. If this Settlement Agreement is not approved and a contested case proceeding is initiated pursuant to Minnesota Statutes Chapter 14 (2012), Respondent agrees not to object to the Board's initiation of the proceeding and hearing the case on the basis that the Board has become disqualified due to its review and consideration of this Settlement Agreement and the record.

- 9. Record. The Settlement Agreement, related investigative reports and other documents shall constitute the entire record of the proceedings herein upon which the Order is based. The investigative reports, other documents, or summaries thereof may be filed with the Board with this Settlement Agreement.
- 10. <u>Data Classification.</u> Under the Minnesota Government Data Practices Act, this Settlement Agreement and Cease and Desist Order is classified as public data upon its issuance by the Board, Minnesota Statutes section 13.41, subdivision 5 (2012). All documents in the record shall maintain the data classification to which they are entitled under the Minnesota Government Data Practices Act, Minnesota Statutes Chapter 13 (2012). They shall not, to the extent they are not already public documents, become public merely because they are referenced herein. A summary of this Settlement Agreement and Cease and Desist Order will appear in the Board's newsletter. A summary will also be sent to the national discipline data bank pertaining to the practice of architecture.
- 11. <u>Unrelated Violations.</u> This settlement shall not in any way or manner limit or affect the authority of the Board to proceed against Respondent by initiating a contested case hearing or by other appropriate means on the basis of any act, conduct, or admission of Respondent justifying disciplinary action which occurred before or after

the date of this Settlement Agreement and which is not directly related to the specific facts and circumstances set forth herein.

- 12. <u>Entire Agreement.</u> Respondent has read, understood, and agrees to this Settlement Agreement and Cease and Desist Order and is freely and voluntarily signing it. The Settlement Agreement and Cease and Desist Order contains the entire agreement between the parties. Respondent is not relying on any other agreement or representations of any kind, verbal or otherwise.
- 13. <u>Counsel.</u> Respondent is aware that he may choose to be represented by legal counsel in this matter. Respondent knowingly waived legal representation.
- 14. <u>Service.</u> If approved by the Board, a copy of this Settlement Agreement and Cease and Desist Order shall be served personally or by first class mail on Respondent. The Settlement Agreement shall be effective and deemed issued when it is signed by the Chair of the Board.

RESPONDENT

Eric Ludwig
Dated: December 20, 20 3
SUBSCRIBED and sworn to before me on this the 20 day of, 20 13
Eldeud My Commission Expires: (Notary Public)
COMPLAINT COMMITTEE
DATED: 119 , 20 19 Bruce Johnson, PG Committee Chair

ORDER

Upon consideration of the foregoing Settlement Agreement and Cease and Desist Order and based upon all the files, records, and proceedings herein, all terms of the Settlement Agreement and Cease and Desist Order are approved and adopted and hereby issued as an Order of this Board this the ___A__ day of ___PSACHAM_,

MINNESOTA BOARD OF ARCHITECTURE, ENGINEERING, LAND SURVEYING, LANDSCAPE ARCHITECTURE, GEOSCIENCE AND INTERIOR DESIGN

Board Chair

AFFIDAVIT OF SERVICE BY MAIL

RE: In the Matter of Eric Ludwig, Unlicensed
STATE OF MINNESOTA)) ss. COUNTY OF RAMSEY)
Lynette DuFresne, being first duly sworn, deposes and says:
That at the City of St. Paul, County of Ramsey and State of Minnesota, on this the 34th day of FEBRUARY, 2014, she served the attached Settlement Agreement and Cease and Desist Order , by depositing in the United States mail at said city and state, a true and correct copy thereof, properly enveloped, with first class and certified postage prepaid, and addressed to:
Mr. Eric Ludwig LNA Design 2246 Stinson Parkway Minneapolis, Minnesota 55418
CERTIFIED MAIL Return Receipt Requested 7012 0470 0000 4959 0332 A mutte Manne Lynette DuFresne
Subscribed and sworn to before me on this the 24th day of February , 2014.
Beverly a Carey (Notary Public)

BEVERLY A. CAREY
NOTARY PUBLIC
MINNESOTA
My Commission Expires Jan. 31 2015